



Ausbil Investment Management Limited

Client Services contact details

Phone

1800 287 245 or 02 9259 0200

Email

ausbil_transactions@unitregistry.com.au

Website

www.ausbil.com.au

Additional Application Form

Use this form if you are an existing investor and wish to make an additional investment.

Please complete all sections in BLOCK letters and using a black pen. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

1. Read and ensure you understand the Product Disclosure Statement (PDS) for the Fund you are making an investment in.

The PDS is available on our website www.ausbil.com.au or from your financial adviser.

2. Please ensure that you have completed the following:

- written your investor number and investor name as it appears on your latest statement
- written the amount in Australian dollars
- ticked the standing instruction box (if applicable)
- selected the payment method you would like to use
- signed the form as per the 'Acknowledgements and signatures' in section 7.

3. Send your documents to us.

You can return your form by post, fax or email according to the details below:

Send by post: Ausbil Investment Management Limited
GPO Box 804
Melbourne VIC 3001

Fax to: 1300 072 387

Scan and email to: ausbil_transactions@unitregistry.com.au

Please include your investor number in the subject line of your email.

4. Transfer your application money to us.

Please refer to section 4 'payment of application amount'.

1 Investor details

Investor number

Investor name

2 Investment details and distribution instructions

Please specify the amount(s) you wish to invest. The minimum additional investment is AUD\$5,000 for each fund.

If you are an existing unit holder in the Fund for which you are applying, the distribution choice below will override any pre-existing election. If left blank, distribution will be reinvested unless previously advised.

| FUND NAME | APIR CODE | INVESTMENT AMOUNT AUD | DISTRIBUTION OPTION (indicate preference with an X) | |
|--|-----------|-----------------------|---|--------------------------|
| | | | Pay to my bank a/c | Reinvest |
| Ausbil Australian Active Equity Fund | AAP0103AU | \$ | <input type="checkbox"/> | <input type="checkbox"/> |
| Ausbil Australian Concentrated Equity Fund | AAP9227AU | \$ | <input type="checkbox"/> | <input type="checkbox"/> |
| Ausbil Active Sustainable Equity Fund | AAP3940AU | \$ | <input type="checkbox"/> | <input type="checkbox"/> |
| Ausbil Active Dividend Income Fund | AAP3656AU | \$ | <input type="checkbox"/> | <input type="checkbox"/> |
| Ausbil Australian Geared Equity Fund | AAP0002AU | \$ | <input type="checkbox"/> | <input type="checkbox"/> |
| Ausbil 130/30 Focus Fund - Wholesale Class | AAP0008AU | \$ | <input type="checkbox"/> | <input type="checkbox"/> |
| Ausbil Australian Emerging Leaders Fund | AAP0104AU | \$ | <input type="checkbox"/> | <input type="checkbox"/> |
| Ausbil MicroCap Fund | AAP0007AU | \$ | <input type="checkbox"/> | <input type="checkbox"/> |
| Ausbil Australian SmallCap Fund | AAP5529AU | \$ | <input type="checkbox"/> | <input type="checkbox"/> |
| Ausbil Balanced Fund | AAP0101AU | \$ | <input type="checkbox"/> | <input type="checkbox"/> |
| Ausbil Global Resources Fund | AAP5928AU | \$ | <input type="checkbox"/> | <input type="checkbox"/> |
| Ausbil Global SmallCap Fund | AAP8285AU | \$ | <input type="checkbox"/> | <input type="checkbox"/> |
| Ausbil Global Essential Infrastructure Fund - Unhedged | AAP3254AU | \$ | <input type="checkbox"/> | <input type="checkbox"/> |
| Ausbil Global Essential Infrastructure Fund - Hedged | AAP3601AU | \$ | <input type="checkbox"/> | <input type="checkbox"/> |
| Candriam Sustainable Global Equity Fund | AAP0001AU | \$ | <input type="checkbox"/> | <input type="checkbox"/> |
| MacKay Shields Multi-Sector Bond Fund | AAP0020AU | \$ | <input type="checkbox"/> | <input type="checkbox"/> |
| Other _____ | | \$ | <input type="checkbox"/> | <input type="checkbox"/> |

3 Other instructions

If you wish to change your other instructions (such as your reporting preferences, Financial Adviser information, or contact details), please complete the relevant form, available from www.ausbil.com.au.

4 Payment of application amount

Please select your payment method and complete the relevant section if applicable. All payments must be made in AUD.

I am making my payment by:

Electronic Funds Transfer (EFT)

EFT

Account name: NNLACF – Ausbil Application Account

BSB: 083-043

Account number: 718512670

Your reference: [please use the name of the investor and investor number]

BPAY – telephone and internet banking



If paying by BPAY, you do not need to send this application form to us. Your distribution preference will remain as per the current details for your investment, unless you select otherwise in section 2 of this form. If you have not previously made a distribution preference, distribution will be reinvested.

You can make your payment using telephone or internet banking. You will need to quote the Fund biller code and your investor number when making your payment. **Biller codes** are listed on the next page.

Please note, if you are investing in more than one Fund, you will need to make separate payments for each applicable Fund.

Contact your bank or financial institution to make this payment from your cheque, savings, debit or transaction account.

Fund BPAY biller codes

| If you'd like to make a payment to this Fund | Your BPAY biller code | Reference number |
|--|-----------------------|---------------------|
| Ausbil Australian Active Equity Fund | 636845 | Your account number |
| Ausbil Australian Concentrated Equity Fund | 636969 | Your account number |
| Ausbil Active Sustainable Equity Fund | 989111 | Your account number |
| Ausbil Active Dividend Income Fund | 249623 | Your account number |
| Ausbil Australian Geared Equity Fund | 636886 | Your account number |
| Ausbil 130/30 Focus Fund - Wholesale Class | 636829 | Your account number |
| Ausbil Australian Emerging Leaders Fund | 636878 | Your account number |
| Ausbil MicroCap Fund | 636852 | Your account number |
| Ausbil Australian SmallCap Fund | 299966 | Your account number |
| Ausbil Balanced Fund | 636860 | Your account number |
| Ausbil Global Resources Fund | 306464 | Your account number |
| Ausbil Global SmallCap Fund | 290056 | Your account number |
| Ausbil Global Essential Infrastructure Fund - Unhedged | 299958 | Your account number |
| Ausbil Global Essential Infrastructure Fund - Hedged | 329680 | Your account number |
| Candriam Sustainable Global Equity Fund | 636894 | Your account number |
| MacKay Shields Multi-Sector Bond Fund | 636902 | Your account number |

Cheque

Cheque

Make your cheque payable to NNL-Ausbil Application Account and complete the cheque details below.

Cheque number

Cheque drawer

BSB number

Account number

-

5 Facsimile indemnity

If you are instructing us via facsimile (including applications, withdrawal requests and switching requests) you acknowledge the following conditions:

- We will only process your facsimile instructions if it has been received by us in full. We bear no responsibility for any loss or delay that may be incurred from a transmission not being received by us. A facsimile receipt confirmation from the sender's facsimile machine is not evidence that the facsimile has been received.

- We bear no responsibility for any fraudulently completed facsimile transactions and will not compensate you for any losses. For instance, you uphold the risk that a fax may be sent by someone who knows your account details.

6 Foreign Account Tax Compliance Act (FATCA)

The Fund is registered as a Reporting Australian Financial Institution in accordance with the intergovernmental agreement entered into between the Australian and United States (US) governments regarding FATCA on 28 April 2014 (IGA). As a Reporting Australian Financial Institution, due diligence will be carried out on prospective investors in the Fund and on existing unit holders. Prospective investors (including existing unit holders applying for additional units) will be required to supply us with certain information and/or documentation when applying for units. Existing unit holders will be required to supply us with certain information and/or documentation on request.

Information regarding certain unit holders and their unit holdings in the Fund will be reported to the Australian Taxation Office (ATO).

Generally, information will be reported to the ATO in respect of unit holders who are:

- U.S. citizens or residents,
- certain types of U.S. entities, or
- certain types of non-U.S. entities that are controlled by one or more U.S. citizens or residents.

We are also expected to report to the ATO the details of any payments we make to 'Non-participating Financial Institutions', as such term is defined in the IGA. If you are an existing unit holder and you do not provide us with the necessary documentation upon request we may be obliged to report information in respect of you and your unit holding in the Fund to the ATO. If you are a new investor (including an existing unit holder applying for additional units) and you do not supply us with the necessary information and/or documentation on request, we may not issue units to you. Alternatively, we may report information regarding you and your unit holding in the Fund to the ATO.

As per the IGA, the ATO will share information reported to it by Australian financial institutions with the U.S. Internal Revenue Service. For additional information on how our due diligence and reporting obligations under the IGA may affect you, please consult your tax adviser.

7 Acknowledgements and signatures

This form must be signed by all authorised signatories (eg. For a joint account, both signatories must sign this form). By executing this Form and I/we agree with, and represent and warrant to Ausbil that:

- All details in this Form are complete, true and correct;
- I/we have read and understood the Product Disclosure Statement (PDS) and the Additional Information Guide (AIG) for the applicable fund(s) and agree to be bound by them (as updated from time to time);
- I agree to be bound by the constitution(s) for those fund(s) (as amended from time to time);
- That acceptance of my/our application for units in any fund(s) will be at the sole discretion of Ausbil and that Ausbil has the right to reject any application;
- I/we have legal power to sign this Form and invest in the fund(s) and have complied with all applicable laws in doing so (including those relating to superannuation and tax);
- The details of my/our investment can be provided to the dealer group or adviser shown on this Form by the means and in the format that they direct;
- Sole signatories signing on behalf of a company confirm that they are signing as sole director of the company;
- If acting as a trustee or custodian, I/we confirm that I/we am/are acting in accordance with my/our designated powers and authority under the trust deed or custody agreement;
- If the Form is signed by an attorney, the attorney declares that he/she has not received notice of revocation of appointment and has provided a certified copy of the Power of Attorney to Ausbil;
- I/we are aware of the risks involved with investing in the fund(s) and have not relied on any representation (whether oral or written) from Ausbil or any other persons in connection with the fund(s);
- It is my/our responsibility to seek independent investment, financial, legal or taxation advice as to the suitability of the fund(s) to my/our circumstances;
- I/we understand that my/our investment in the fund(s) is subject to investment risks, including possible delays in repayment and loss of income and principal;
- I/we understand that none of Ausbil or its related entities or

associates guarantees the performance of the fund(s), repayment of capital, rate of return or amount of any distribution;

- I/we will not knowingly do anything to put Ausbil in breach of AML/CTF Laws and I will notify Ausbil if I/we are aware of anything that may put Ausbil in breach of AML/CTF Laws.
- I/we are not aware and have no reason to suspect that the application monies or proceeds of investment in the fund(s) are derived from or will be used in connection with money laundering, terrorism financing or any other illegal activities;
- If requested, I/we will provide additional information and comply with all reasonable requests by Ausbil to enable it to comply with AML/CTF Laws;
- That personal information about me/us may be collected, used and disclosed in accordance with Ausbil's Privacy Policy and the privacy statements included in the PDS, including for marketing purposes;
- I/we will promptly advise Ausbil if event or circumstances occurs which would cause these representations and warranties to be incorrect or misleading or if I/we are in breach of any agreement or undertaking; and
- I/we indemnify Ausbil against any losses or liabilities incurred by it as a result of a breach by me/us of any agreement, representation or warranty.

I/we agree that the above agreements, representations, warranties are given on the date this Form is signed and repeated on each day thereafter until I/we cease to have an investment in the fund(s).

When you apply to invest, you (the applicant) are telling us:

- you have received, read and understood the current PDS
- monies deposited are not associated with crime, money laundering or terrorism financing, nor will monies received from your account have any such association
- you are not bankrupt or a minor, and
- you agree to be bound by the constitution of the Fund and the PDS as supplemented, replaced or re-issued from time to time.

Who needs to sign this form

Individual – where the investment is in one name, the account holder must sign.

Joint Holding – where the investment is in more than one name, all of the account holders must sign.

Companies – where the company has a sole director who is also the sole company secretary, this form must be signed by that person. If the company (pursuant to section 204A of the Corporations Act 2001) does not have a company secretary, a sole director can also sign alone. Otherwise this form must be signed by a director jointly with either another director or a company secretary. Please indicate the capacity in which the form is signed.

Trust – the trustee(s) must sign this form. Trustee(s) signing on behalf of the trust confirm that the trustee(s) is/are acting in accordance with such designated powers and authority under the trust deed.

Power of Attorney – if you have not already lodged the Power of Attorney with us, please attach an original certified copy of the Power of Attorney document that includes Certificate of Witness and Statement of Acceptance and original Certified Identification Document of the Power of Attorney. I/We attest that the Power of Attorney has not been rescinded or revoked and that the Donor is still living.

Signature of investor 1, director or authorised signatory

Please print full name

Date (DD/MM/YYYY)

 / /

Company officer (please indicate company capacity)

Director

Sole director and company secretary

Authorised signatory

Signature of investor 2, director/company secretary or authorised signatory

Please print full name

Date (DD/MM/YYYY)

 / /

Company officer (please indicate company capacity)

Director

Company secretary

Authorised signatory