

Client Services contact details

Phone

1800 287 245 or 02 9259 0200

Email

ausbil_transactions@unitregistry.com.au

Website

www.ausbil.com.au

Change of Details

Use this form if you are an existing investor and wish to change your contact details, distribution preference, bank account details or annual report option.

Please complete the sections in BLOCK letters and using a black pen. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

1. Please ensure you have completed the following:

- write your investor number and investor name as it appears on your latest statement in section 1, and
- if you are changing your contact details complete section 2 and 6
- if you are changing your distribution preference complete section 3 and 6
- if you are changing your bank account details complete section 4 and 6
- if you are changing your annual financial statements option complete section 6
- signed the form as per the 'Acknowledgements and signatures' in section 9.

2. Send your documents to us.

You can return your form by post, fax or email according to the details below:

Send by post: Ausbil Investment Management Limited
GPO Box 804
Melbourne VIC 3001

Fax to: 1300 072 387

Scan and email to: ausbil_transactions@unitregistry.com.au

Please include your investor number in the subject line of your email.

IMPORTANT NOTE REGARDING CHANGING BANK ACCOUNT DETAILS ON FILE

Ausbil can only pay redemption proceeds to the Australian bank account held on file which has previously been submitted and accepted.

For any changes to your Australian bank account held on file, Ausbil requires an original Change of Details Form with an original signature to be completed.

1 Investor details

Investor number

Investor name

2 New contact details

New residential address or business address.

A PO Box/RMB/Locked Bag is not acceptable.

Property/Building name (if applicable)

Unit/Level

Street number

Street name

Suburb

State

Postcode

Country

New postal address (if different to residential address)

A PO Box/RMB/Locked Bag is acceptable.

Property/Building name (if applicable)

Unit/Level

Street number

Street name (or PO Box or other mail details if applicable)

Suburb

State

Postcode

Country

New contact details

Home number (include country and area code)

Business number (include country and area code)

Mobile number (include country code)

New email address (please use block letters)

This email address is the default address for all investor correspondence (such as transaction confirmations, statements, reports and other material).

Registered Office Address

Please complete if different from Business Address above.

A PO Box/RMB/Locked Bag is not acceptable

Property/Building name (if applicable)

Unit/Level

Street number

Street name

Suburb

State

Postcode

Country

3 Change of distribution preference

Please indicate your choice below. If you do not make a choice below, we will reinvest your distribution into the fund.

FUND NAME	APIR Code	Distribution preference (indicate preference with an X)	
		Reinvest	Pay to my Australian bank account
Ausbil Australian Active Equity Fund	AAP0103AU	<input type="checkbox"/>	<input type="checkbox"/>
Ausbil Australian Concentrated Equity Fund	AAP9227AU	<input type="checkbox"/>	<input type="checkbox"/>
Ausbil Active Sustainable Equity Fund	AAP3940AU	<input type="checkbox"/>	<input type="checkbox"/>
Ausbil Active Dividend Income Fund	AAP3656AU	<input type="checkbox"/>	<input type="checkbox"/>
Ausbil Australian Geared Equity Fund	AAP0002AU	<input type="checkbox"/>	<input type="checkbox"/>
Ausbil 130/30 Focus Fund - Wholesale Class	AAP0008AU	<input type="checkbox"/>	<input type="checkbox"/>
Ausbil Australian Emerging Leaders Fund	AAP0104AU	<input type="checkbox"/>	<input type="checkbox"/>
Ausbil MicroCap Fund	AAP0007AU	<input type="checkbox"/>	<input type="checkbox"/>
Ausbil Australian SmallCap Fund	AAP5529AU	<input type="checkbox"/>	<input type="checkbox"/>
Ausbil Long Short Focus Fund	AAP8211AU	<input type="checkbox"/>	<input type="checkbox"/>
Ausbil Balanced Fund	AAP0101AU	<input type="checkbox"/>	<input type="checkbox"/>
Ausbil Global Resources Fund	AAP5928AU	<input type="checkbox"/>	<input type="checkbox"/>
Ausbil Global SmallCap Fund	AAP8285AU	<input type="checkbox"/>	<input type="checkbox"/>
Ausbil Global Essential Infrastructure Fund - Unhedged	AAP3254AU	<input type="checkbox"/>	<input type="checkbox"/>
Ausbil Global Essential Infrastructure Fund - Hedged	AAP3601AU	<input type="checkbox"/>	<input type="checkbox"/>
Candriam Sustainable Global Equity Fund	AAP0001AU	<input type="checkbox"/>	<input type="checkbox"/>
MacKay Shields Multi-Sector Bond Fund	AAP0020AU	<input type="checkbox"/>	<input type="checkbox"/>
Other _____		<input type="checkbox"/>	<input type="checkbox"/>

4 Australian bank account details

Please provide the Australian financial institution account details in order to receive your distribution payments and/or future redemption payments. Payments will only be made to a financial institution account held in the name of the investor/s. Payments will not be made into third party financial institution accounts.

Any details provided will override any bank account details previously provided to us.

Please note: We will not issue cheques for income distribution or redemption payments.

Name of Australian bank or financial institution

Branch name

BSB number

 -

Account number

Account name

5 Tax status

All investors must complete this section

The Foreign Account Tax Compliance Act (FATCA) is a US tax law which imposes obligations on all Australian financial institutions. The purpose of FATCA is to increase transparency regarding US citizens and residents who hold offshore assets.

Ausbil is required to identify, collect and report directly to the ATO various account-related information of any investors deemed to be a US person. We are obliged to collect the information from our investors as shown below.

If section 5 is not completed, we may be required to report any non-compliance to the US authorities via the ATO.

Country of residence for tax purposes

Complete one of the relevant fields below if you wish to change your country of residence for tax purposes.

Country of residence for tax purposes (if not in Australia)

Tax file number (resident of Australia for tax purposes)

Select one option to indicate the relevant category and if required provide further information requested.

- FATCA exempt. For example, investors have no affiliation with the US, are not US citizens and hold no assets (directly or indirectly) in the US.
- An individual who is a US citizen or resident of the US for tax purposes

Please provide the entity's US Taxpayer Identification Number (TIN)

A company, partnership, trust or association established under the laws of the US or US taxpayer
Please provide the entity's US Taxpayer Identification Number (TIN)

A financial institution or trust with a trustee that is a financial institution (i.e. custodial, depository institution, an investment entity or a specified insurance company for FATCA purposes)
Please provide the entity's Global Intermediary Identification Number (GIIN)

If the financial institution does not have a GIIN, please tick one box to clarify its FATCA status:

- GIIN applied for but not yet issued
 Non-reporting IGA FFI (listed in Annex 11 of IGA)

Resident of non-IGA country or not otherwise subject to IGA deemed compliant

- exempt beneficial owner
 Non-Participating FFI
 GIIN applied for but not yet issued
 A proprietary company, partnership, trust or association that is not a financial institution as described above and in the preceding reporting period either:
- earned 50% or more of its gross income from distributions, dividends, rental, interest or other investment income; or
 - held 50% or more of its assets in shares, properties, bonds or similar investment assets that generate passive income.

If you ticked this category, are any of the (as relevant) shareholders holding a controlled interest (i.e. holding more than 25% of the company), or are any of the partners, beneficiaries, trustees or settlors US citizens or US taxpayers?

- Yes, please provide the following details: No

Name (in full)

US Taxpayers Identification Number (TIN)

Unit/Level Street number

Street name

Suburb State

Postcode Country

Name (in full)

US Taxpayers Identification Number (TIN)

Unit/Level Street number

Street name

Suburb State

Postcode Country

6 Annual financial statements option

- No annual financial statement
 Annual financial statements by email*
 Annual financial statements by post

If no election is made, financial statements will not be sent.

* If you have elected to receive your annual financial statements by email, please provide your email address on section 2 of this form

7 Facsimile Indemnity

If you are instructing us via facsimile (including applications, withdrawal requests and switching requests) you acknowledge the following conditions:

- We will process your facsimile instructions if it has been received by us in full. We bear no responsibility for any loss or delay that may be incurred from a transmission not being received by us. A facsimile receipt confirmation from the sender's facsimile machine is not evidence that the facsimile has been received.

- We bear no responsibility for any fraudulently completed facsimile transactions and will not compensate you for any losses. For instance, you uphold the risk that a facsimile may be sent by someone who knows your account details.

8 Foreign Account Tax Compliance Act (FATCA)

The Fund is registered as a Reporting Australian Financial Institution in accordance with the intergovernmental agreement entered into between the Australian and United States (US) governments regarding FATCA on 28 April 2014 (IGA). As a Reporting Australian Financial Institution, due diligence will be carried out on prospective investors in the Fund and on existing unit holders. Prospective investors (including existing unit holders applying for additional units) will be required to supply us with certain information and/or documentation when applying for units. Existing unit holders will be required to supply us with certain information and/or documentation on request.

Information regarding certain unit holders and their unit holdings in the Fund will be reported to the Australian Taxation Office (ATO). Generally, information will be reported to the ATO in respect of unit holders who are:

- U.S. citizens or residents,
- certain types of U.S. entities, or
- certain types of non-U.S. entities that are controlled by one or more U.S. citizens or residents.

We are also expected to report to the ATO the details of any payments we make to 'Non-participating Financial Institutions', as such term is defined in the IGA. If you are an existing unit holder and you do not provide us with the necessary documentation upon request we may be obliged to report information in respect of you and your unit holding in the Fund to the ATO. If you are a new investor (including an existing unit holder applying for additional units) and you do not supply us with the necessary information and/or documentation on request, we may not issue units to you. Alternatively, we may report information regarding you and your unit holding in the Fund to the ATO. As per the IGA, the ATO will share information reported to it by Australian financial institutions with the U.S. Internal Revenue Service. For additional information on how our due diligence and reporting obligations under the IGA may affect you, please consult your tax adviser.

9 Acknowledgement and signatures

This form must be signed by all authorised signatories (eg. For a joint account, both signatories must sign this form).

By executing this Form and I/we agree with, and represent and warrant to Ausbil that:

- All details in this Form are complete, true and correct including the FATCA information in section 8;
- I/we have read and understood the Product Disclosure Statement (PDS) and Additional Information Guide (AIG) for the applicable fund(s) and agree to be bound by them (as updated from time to time);
- I agree to be bound by the constitution(s) for those fund(s) (as amended from time to time);
- That acceptance of my/our application for units in any fund(s) will be at the sole discretion of Ausbil and that Ausbil has the right to reject any application;
- I/we have legal power to sign this Form and invest in the fund(s) and have complied with all applicable laws in doing so (including those relating to superannuation and tax);
- The details of my/our investment can be provided to the dealer group or adviser shown on this Form by the means and in the format that they direct;
- Sole signatories signing on behalf of a company confirm that they are signing as sole director of the company;
- If acting as a trustee or custodian, I/we confirm that I/we am/are acting in accordance with my/our designated powers and authority under the trust deed or custody agreement;
- If the Form is signed by an attorney, the attorney declares that he/she has not received notice of revocation of appointment and has provided a certified copy of the Power of Attorney to Ausbil;
- I/we are aware of the risks involved with investing in the fund(s) and have not relied on any representation (whether oral or written) from Ausbil or any other persons in connection with the fund(s);
- It is my/our responsibility to seek independent investment, financial, legal or taxation advice as to the suitability of the fund(s) to my/our circumstances;
- I/we understand that my/our investment in the fund(s) is subject to investment risks, including possible delays in repayment and loss of income and principal;
- I/we understand that none of Ausbil or its related entities or associates guarantees the performance of the fund(s), repayment of capital, rate of return or amount of any distribution;
- I/we will not knowingly do anything to put Ausbil in breach of AML/CTF Laws and I will notify Ausbil if I/we are aware of anything that may put Ausbil in breach of AML/CTF Laws.
- I/we are not aware and have no reason to suspect that the application monies or proceeds of investment in the fund(s) are derived from or will be used in connection with money laundering, terrorism financing or any other illegal activities;
- If requested, I/we will provide additional information and comply with all reasonable requests by Ausbil to enable it to comply with AML/CTF Laws;
- That personal information about me/us may be collected, used and disclosed in accordance with Ausbil's Privacy Policy and the privacy statements included in the PDS, including for marketing purposes;
- I/we will promptly advise Ausbil if event or circumstances occurs which would cause these representations and warranties to be incorrect or misleading or if I/we are in breach of any agreement or undertaking; and
- I/we indemnify Ausbil against any losses or liabilities incurred by it as a result of a breach by me/us of any agreement, representation or warranty.

I/We agree that the above agreements, representations, warranties are given on the date this Form is signed and repeated on each day thereafter until I/we cease to have an investment in the fund(s).

By completing and signing this form, you

- authorise us to act according with the instructions on this form
- acknowledge that the instructions on this form supersede all previous instructions received by us, and
- agree to indemnify us from and against all losses, costs, expenses, claims, actions or proceedings brought against us in connection with following your instructions on this form.

Who needs to sign this form

Individual – where the investment is in one name, the account holder must sign.

Joint Holding – where the investment is in more than one name, all of the account holders must sign.

Companies – where the company has a sole director who is also the sole company secretary, this form must be signed by that person. If the company (pursuant to section 204A of the Corporations Act 2001) does not have a company secretary, a sole director can also sign alone. Otherwise this form must be signed by a director jointly with either another director or a company secretary. Please indicate the capacity in which the form is signed.

Trust – the trustee(s) must sign this form. Trustee(s) signing on behalf of the trust confirm that the trustee(s) is/are acting in accordance with such designated powers and authority under the trust deed.

Power of Attorney – if you have not already lodged the Power of Attorney with us, please attach a certified copy of the Power of Attorney document that includes Certificate of Witness and Statement of Acceptance and Certified Identification Document of the Power of Attorney. I/We attest that the Power of Attorney has not been rescinded or revoked and that the Donor is still living.

Signature of investor 1, director or authorised signatory

Please print full name

Date (DD/MM/YYYY)

Company officer (please indicate company capacity)

- Director
- Sole director and company secretary
- Authorised signatory

Signature of investor 2, director/company secretary or authorised signatory

Please print full name

Date (DD/MM/YYYY)

Company officer (please indicate company capacity)

- Director
- Company secretary
- Authorised signatory